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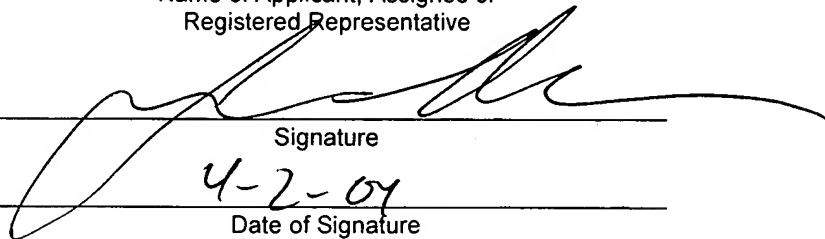
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GROUP 3600

Our Case No. 4672/363

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Brady et al.

Serial No. 10/001,237

Filing Date: October 30, 2001

For **NETWORK AND METHOD FOR
TRADING DERIVATIVES**

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) Examiner: Not yet assigned
)
) Group Art Unit No. 2167
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PRELIMINARY AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Preliminary to examination of the subject application, please amend the above-identified application as follows: